



Practitioner's Docket No. 1748/110

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chengda Yang, Kevin Manbeck, Stuart Geman, and Donald Geman

Application No.: 09/821,778

Group No.: 2614

Filed: March 29, 2001

Examiner: Michael Lee

For: FORMAT CONVERSION

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. § 1.311)  
AND PAYMENT OF PUBLICATION FEE ((37 C.F.R. § 1.211(e))**

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.
2. Applicant
  - A. Asserted small entity status in this application on July 9, 2001 by payment of the basic filing fee as a small entity. (37 C.F.R. § 1.27(c)(3))  
  
It is confirmed that small entity status for this application has been checked and it is still in effect and is being asserted.
  - B. Applicant hereby asserts small entity status for this application.

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. § 1.10\***

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facsimile transmitted to the Patent and Trademark Office. (571) 273 - 8300.

  
\_\_\_\_\_  
Signature

Date: March 13, 2006

John J. Stickeyvers  
\_\_\_\_\_  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

An "ASSERTION OF SMALL ENTITY STATUS" signed by an appropriate party as required by 37 C.F.R. § 1.27(c)(2) is attached.

3. Fee (37 C.F.R. § 1.18 (a)):

Application status is small business entity with an issue fee of \$700.00.

4. Applicant hereby pays the publication fee for this application.

5. The publication fee set forth in § 1.18(d) (300.00) is required by 37 C.F.R. § 1.211(e).

6. Payment of fee:

Attached is a check in the amount of \$1,030.00.

(This fee includes \$30.00 for advance order of ten (10) patent copies.)

Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 19-4972.

A duplicate of this paper is attached.

Date: March 13, 2006



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01748/00110 475228.1



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## STATEMENT CLAIMING SMALL ENTITY STATUS

Applicant(s): Yang et al.  
Serial No: 09/821,778  
Date Filed: March 29, 2001  
For: FORMAT CONVERSION

BROMBERG & SUNSTEIN LLP  
RECEIVED

JAN 26 2006

I have read the Important Note Concerning Small Entities provided to me with this document.<sup>1</sup>

I am an official empowered to act on behalf of

MTI FILM LLC.  
209 Angell Street  
Providence, Rhode Island 02906

It is my understanding that **MTI Film LLC** is entitled to claim small entity status for purposes of paying reduced fees with regard to the above invention because:

**MTI Film LLC** qualifies as a small business as described in the Important Note. Furthermore, rights to the captioned invention are not licensed or subject to obligation to be licensed to a party other than a small entity.

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate.

Signature:

Date:

01748/00110 154820.1PB

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<sup>1</sup>Please call if you have questions regarding any aspect of the requirements of small entity status.